

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/877371	
	Filing Date	06-08-2001	
	First Named Inventor	Russ	
	Art Unit	2879	
	Examiner Name	Harper	
Total Number of Pages in This Submission	4*	Attorney Docket Number	68581/7114

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement

- ☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

- ☐ After Allowance communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

- ☐ Proprietary Information
☐ Status Letter

- ☒ Other Enclosure(s) (please identify below):

Return postcard to applicant's attorney.

Remarks

*Exclusive of patent applications and publications.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FITCH, EVEN, TABIN & FLANNERY Julie A. Hopper (Reg. No. 50869)
Signature	
Date	June 25, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Type or printed name	Julie A. Hopper		
Signature		Date	June 25, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Russ

Serial No.: 09/877,371

Filed: 06-08-2001

Title: CARBON CATHODE OF A FIELD
EMISSION DISPLAY WITH IN
LAID ISOLATION BARRIER AND
SUPPORT

Group Art Unit: 2879

Examiner: Harper

Attorney Docket No.: 68581/7114

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☒ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

**THIS MAILED IDS IS BEING SUBMITTED CONCURRENTLY WITH AN
ADDITIONAL ELECTRONIC IDS CONTAINING THE FEE OF \$180.00 SUBMITTED
UNDER 37 CFR 1.17(p). AS SUCH, NO FEE IS REQUIRED IN THIS SUBMISSION
FILED ON THE SAME DATE, JUNE 25, 2004.**

- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but
before payment of the issue fee)

X Applicant(s) submit herewith Form PTO/SB/08A Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08A are either in the English language or an English translation is provided, therefore, no further explanation is required.

Applicant submits that the above references taken alone or in combination neither anticipates nor renders obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

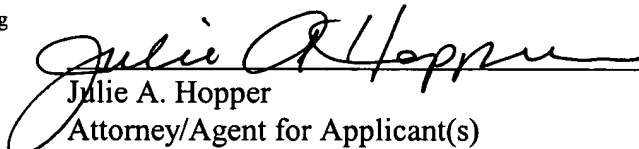
Respectfully submitted,

I hereby certify that this Correspondence is being deposited with the United States Postal service with sufficient postage for first class mail in an envelope address to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

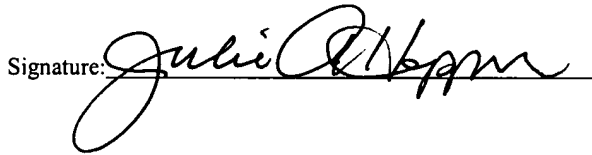
FITCH, EVEN, TABIN & FLANNERY

Date of Deposit: June 25, 2004

Typed Name: Julie A. Hopper

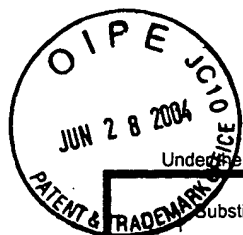

Julie A. Hopper
Attorney/Agent for Applicant(s)
Reg. No. 50869

Signature:



Date: June 25, 2004

Telephone No.: (805) 781-2824



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/877371	
			Filing Date	06-08-2001	
			First Named Inventor	Russ	
			Art Unit	2879	
			Examiner Name	Harper	
Sheet	1	of	1	Attorney Docket Number	68581/7114

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-10/306172	11-27-2002	Russ, et al	
		US-10/350661	01-24-2003	Wang	
		US-10/404945	03-31-2003	Russ, et al	
		US-10/404712	03-31-2003	Russ, et al	
		US-10/772852	11-25-2003	Russ, et al	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT/US03/11818. 10-09-2003. International Search Report. Sony Electronics, Inc.	
		PCT/US03/11410. 04-05-2004. International Search Report. Sony Electronics, Inc.	
		BLOOM, "The Grating Light Valve: revolutionizing display technology" pp 1-21. 10-1-2002. http://www.siliconlight.com/webpdf/pw97.pdf .	
		GUDEMAN, "Diffraction Optical MEMs Using Grating Light Value Technique," <i>EE Times</i> . 03-18-2002. pp 1-4. www.eetimes.com/in_focus/communications/OEG20020315S0047 .	
		ITO, "Carbon-Nanotube-Based Triode-Field-Emission Displays Using Gated Emitter Structure," <i>IEEE Electron Device Letters</i> , September 2001. pp 426-428. Vol. 22, No. 9	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.
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